

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re patent application of  
Orushima, M.

Serial No.: 09/839,298

Group Art Unit: 2829

Filing Date: April 23, 2001

Examiner: Geyer, Scott B.

For: SEMICONDUCTOR DEVICE AND MANUFACTURING METHOD OF THE SAME

RECEIVED  
SEP 10 2003  
TECHNOLOGY CENTER 2800

Honorable Commissioner of Patents  
Alexandria, Virginia 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

Under the provisions of 37 CFR §1.97 through §1.99 and pursuant to applicant's duty of disclosure under 37 CFR §1.56, applicant respectfully brings the following documents cited in the Japanese Office Action and listed on the attached form PTO-1449, to the attention of the Examiner in charge of the above-identified application. Copies of the listed documents are provided herewith for the convenience of the Examiner.

In compliance with the requirements of 37 CFR §1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 35 U.S.C. §1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits a translation of portions of an official action by a foreign examiner in which the references were cited. The relevance to the pending U.S. patent application is that the references were cited in a foreign patent application on the same subject matter. However, no independent analysis of the references, the accuracy of the statement of the foreign examiner or the claims of the foreign application under the laws of that country or the United States relative to the subject matter claimed in the present application has been made, the present understanding of the contents thereof by the undersigned being based on the translation of the foreign examiner's comments submitted herewith.

This citation does not constitute an admission that the references are relevant or material to the claims. They are only cited as constituting related art of which the applicant is aware.

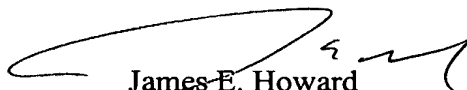
I hereby certify that each item of information contained in this Information Disclosure

Statement was the first citation of that item by a foreign patent office in a counterpart foreign application, which occurred not more than three months prior to the filing of this statement.

It is respectfully requested that the listed references be considered by the Examiner and formally made of record in this application.

Please charge any deficiencies in fees and credit any overpayment of fees to Attorney's Deposit Account No. 50-0481.

Respectfully submitted,

  
James E. Howard  
Registration No. 39,715

Date: 9/4/03

**McGinn & Gibb, PLLC**

Intellectual Property Law

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(Use several sheets if necessary)

SEP 04 2003

GROUP	2829
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[illegible]

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	✓	11-297750	10/29/99	JAPAN			ABS	
	✓	11-191574	07/13/99	JAPAN			ABS	
	✓	09-232506	09/05/97	JAPAN			ABS	
	✓	09-232379	09/05/97	JAPAN			ABS	
	✓	11-168185	06/22/99	JAPAN			ABS	

			Japanese Office Action dated June 4, 2003 with partial English translation.

DATE CONSIDERED

**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Use several sheets if necessary)



SERIAL NO. 09/839,298

FILING

**April 23, 2001**

GROUP

2829

## 8. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	、	11-214448	08/06/99	JAPAN			ABS	
	、	06-204290	07/22/94	JAPAN			ABS	
	、	10-294335	11/04/98	JAPAN			ABS	
	、	2001-284382	10/12/01	JAPAN			ABS	
	、	2000-012622	01/14/00	JAPAN			ABS	

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)


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# INFORMATION DISCLOSURE CITATION

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NEC01P030-HSc

SERIAL NO.  
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Urushima, M.

FILING  
April 23, 2001

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2829

## PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	✓	2000-022027	01/21/00	JAPAN			ABS	
	✓	2001-510944	08/07/01	JAPAN			✓	

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER	DATE CONSIDERED
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